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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/082,286	02/26/2002	Atsushi Takane	H6808.0004/P004	5346
24998	7590	11/04/2005	EXAMINER	
DICKSTEIN SHAPIRO MORIN & OSHINSKY LLP			JOHNSTON, PHILLIP A	
2101 L Street, NW			ART UNIT	
Washington, DC 20037			PAPER NUMBER	
			2881	

DATE MAILED: 11/04/2005

Please find below and/or attached an Office communication concerning this application or proceeding.

**Office Action Summary**

Application No.

10/082,286

Applicant(s)

TAKANE ET AL.

Examiner

Phillip A. Johnston

Art Unit

2881

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

**Period for Reply**

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

**Status**

- 1) ☒ Responsive to communication(s) filed on 08 August 2005.
- 2a) ☒ This action is **FINAL**. 2b) ☐ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

**Disposition of Claims**

- 4) ☒ Claim(s) 1-25 is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 1-25 is/are rejected.
- 7) ☐ Claim(s) \_\_\_\_\_ is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

**Application Papers**

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☒ The drawing(s) filed on 26 February 2002 is/are: a) ☒ accepted or b) ☐ objected to by the Examiner.  
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

**Priority under 35 U.S.C. § 119**

- 12) ☒ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☒ All b) ☐ Some \* c) ☐ None of:
1. ☒ Certified copies of the priority documents have been received.
  2. ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
  3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

\* See the attached detailed Office action for a list of the certified copies not received.

**Attachment(s)**

- |  |   |
|--|---|
| 1) <input checked="" type="checkbox"/> Notice of References Cited (PTO-892)  | 4) <input type="checkbox"/> Interview Summary (PTO-413)<br>Paper No(s)/Mail Date. _____ |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948)                                   | 5) <input type="checkbox"/> Notice of Informal Patent Application (PTO-152)             |
| 3) <input type="checkbox"/> Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08)<br>Paper No(s)/Mail Date _____ | 6) <input type="checkbox"/> Other: _____  |

***Detailed Action***

1. This Office Action is submitted in response to amendment dated 8-08-2005, wherein claims 1,4,5,24, and 25 have been amended. Claims 1-25 are pending.

2. The Double Patenting rejection of the previous Office Action is withdrawn, having received and approved the Terminal Disclaimer on 5-10-2005.

***Claims Rejection – 35 U.S.C. 103***

3. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which the subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

4. Claims 1,8,9,11-18,24, and 25 stand rejected under 35 U.S.C. 103(a) as being unpatentable over U.S. Patent No. 6,363,167 to Miyano, in view of Ito, U.S. Patent No. 6,108,033.

Miyano (167) discloses the following;

(a) As shown in Figures 2 and 3, the recipe file 100 is selected according to a fine pattern in measurement, and read by the computer 101(St. 1). Then, a wafer (sample) 102 on which a photoresist pattern for forming an active area has been fabricated is transported into a specimen chamber of an SEM (an observation tool) (ST. 2). The wafer 102 is placed on a stage 103. Then rotation of the wafer 102 is corrected by an alignment operation (ST. 3). Then, the stage 103 is in a controlling manner moved to one of measurement areas recorded in the recipe file 100 by a stage

controller 104 (ST. 4). Thereby, an observation field of an SEM is shifted onto a measurement area of the wafer 102. Then scanning an electron beam 105 is conducted across the measurement area and photoresist patterns present in the measurement area are processed to an SEM image. In formation of the SEM image, focusing, magnification and the like are automatically adjusted (auto-focusing, ST. 5).

Then, the templates 11 to 13 are read into an image processor 106 (ST. 6). Thereafter, the SEM image obtained in ST. 5 (actual SEM image) is read into the image processor 106 and the actual SEM image is subjected to pattern matching with each of the templates 11 to 13, where correlation coefficients between the actual SEM image and a plurality of image templates are calculated and the coefficients are compared with one another to determine a matching point, as recited in claims 1, 15, 24, and 25. See Column 4, line 40-67; Column 5, line 1-40; Figure 2 below;

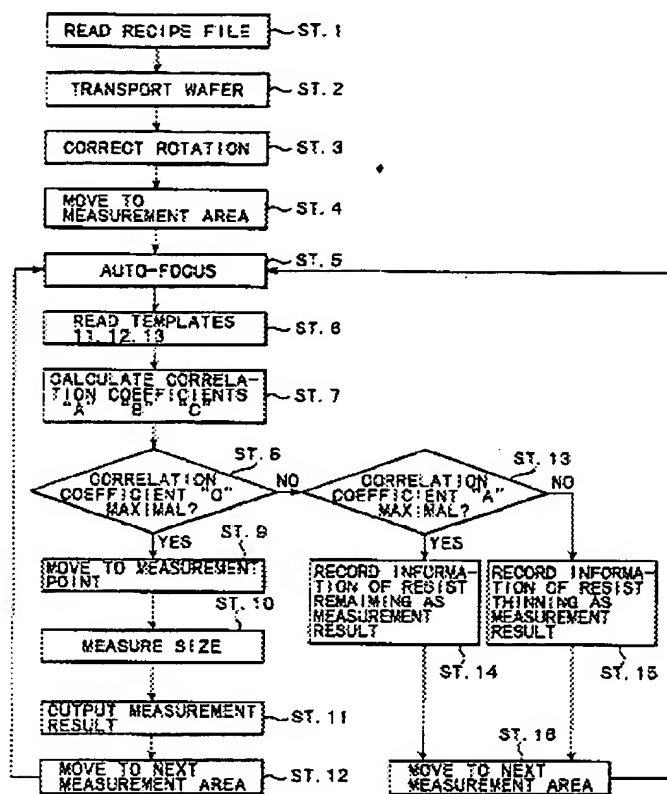


FIG. 2

(b) The templates 11 to 13 are made from images (SEM image) using a scanning electron microscope on patterns prepared actually or images obtained by lithography simulation from CAD data of photomasks (reticles). After templates 11 to 13 are read into an image processor 106 (ST. 6), the SEM image obtained in ST. 5 (actual SEM image) is read into the image processor 106 and the actual SEM image is subjected to pattern matching with each of the templates 11 to 13, and determining whether or not the correlation coefficient is maximal, as recited in claims 1,8,9,11,15,24,25,17 and 18. See Column 5, line 13-40; and Figures 1A-1C below;

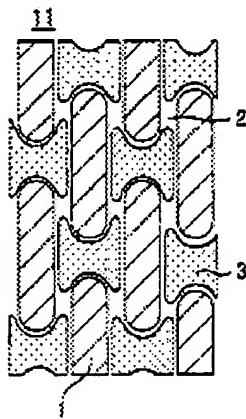


FIG. 1A

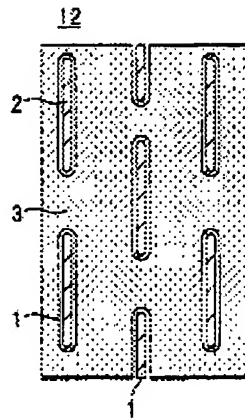


FIG. 1B

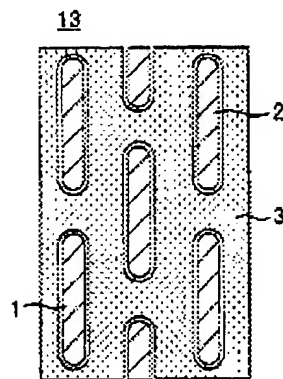


FIG. 1C

(c) In FIGS. 1A to 1C, a reference numeral 1 indicates a secondary electron image obtained from a photoresist pattern, a reference numeral 2 indicates a secondary electron image obtained from an edge of a photoresist pattern and a reference numeral 3 indicates a secondary electron image obtained from a part other than the photoresist patterns (a substrate), as recited in claims 11-15. See Column 4, line 33-40, and Figures 1A-1C above;

Miyano (167) as applied above fails to teach a scanning electron microscope image, wherein a portion of the image that corresponds to the template is re-registered

as a new template in place of the bitmap based on the design information, as recited in claims 1,15,24, and 25. However, Ito (033) discloses an image processing apparatus and method where an object to be monitored picked up by an image pick-up unit, including a first step for producing a difference between an input image including a background image and an image of the object to be monitored from the image pick-up unit and the background image, as an image of the object; a second step for dividing the image of the object into a plurality of parts to produce a plurality of divided images of the object as a plurality of templates, a third step for matching each of a plurality of templates with a new input image and detecting a plurality of parts of the new input image having highest degrees of matching with the templates and a fourth step for updating the new templates using the parts of the new input image having the highest degrees of matching as a plurality of new templates. The fourth step is sequentially executed for new input images to sequentially update the templates to trace the object, as recited in claims 1,15,16,24, and 25. See Column 3, line 40-57.

Therefore it would have been obvious to one of ordinary skill in the art that the SEM measurement system and method of Miyano (167) can be modified to use the template registering method of Ito (033), to provide sequential updating of templates so that the stable matching is attained.

5. Claims 2-7,10 and 19-23 stand rejected under 35 U.S.C. 103(a) as being unpatentable over Miyano (167) and Ito (033), in view of Lin, U.S. Patent No. 6,292,582.

Miyano (167) discloses the use of stored exposure and inspection conditions for executing the inspection judgement process; for example, in ST.13, when "being not maximal" is judged (NO), the photoresist pattern is most similar to the template 12. As a result, a failure of the photoresist pattern is classified into a group of "resist thinning." Thereafter, information of "resist thinning" is recorded as a measurement result. (ST. 15).

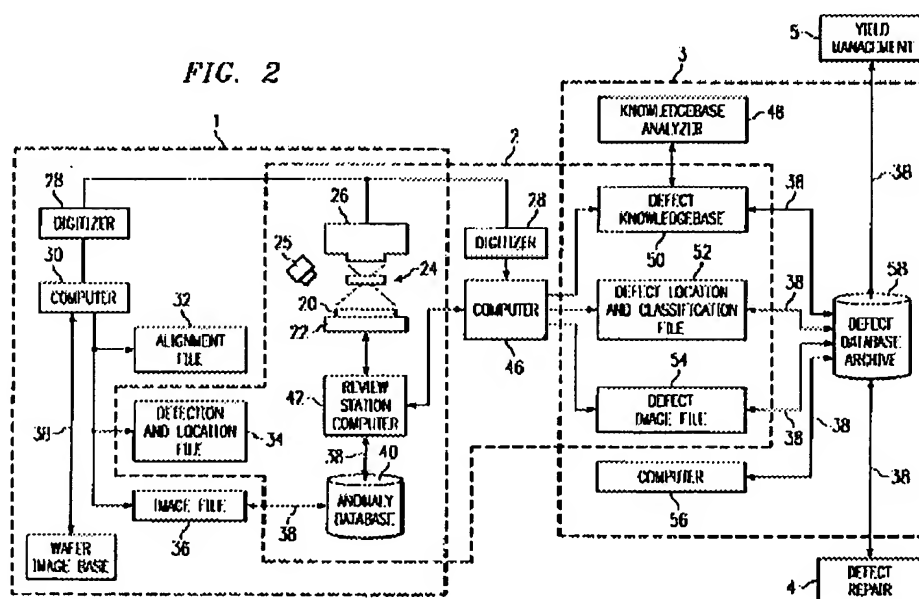
The information on failure obtained in ST.13 is presented on the wafer map of the display 107, for instance, as "no dimensional data" or in "a kind of failure" and a shot of non-resolution is visualized. Since the shot of non-resolution is visualized, discernment between resolution and non-resolution of a shot in exposure conditions for evaluation or a shape of the photoresist is clearly grasped at first glance. In addition, kinds of failures are automatically classified, as recited in claims 3-5,7, and 19-23. See Column 5, line 46-67; and Column 6, line 1-5.

The combination of Miyano (167) and Ito (033) fails to teach the use of an inspection system that includes a function to retrieve and store design data, as well as transmitting data to other SEM systems via a network, as recited in claims 2-7, and 10. However, Lin (582) discloses;

(a) A defect detection and locating system in Figure 2, wherein a semiconductor wafer 20 is placed on an xy stage 22 so that an area of the wafer 20 is illuminated by an energy source 25 that produces energy such as white light, darkfield light, polarized light, laser refraction, scanning electrons, focused ion beams or X-ray such that anomalies on the wafer can be detected using a microscope 24 or other sensor



device. A camera 26 or other image capturing device captures the microscope's 24 image while a digitizer 28, such as a frame grabber or other means of converting the image generated by the sensor from analog to digital form, supplies a digitized (bitmap) rendering of the image to an anomaly detecting-and-locating computer 30, as recited in claims 2-7, and 19-23. See Column 4, line 61-67; and Figure 2 below;



(b) Yield management system 5, retrieves and analyzes information from the defect database/archive 58 and other information resources available on the network 38 and from other sources such as CAD Computer-Aided Designs, results of electrical tests carried out on wafers, wafer inspection reports and images, histories of defects, process models, wafer process histories, and packaged die failure reports, as recited in claims 2,6, and10. See Column 8, line 2-19.

(c) The architecture of the defect knowledgebase 50 allows for quick changes, fine tuning, regular maintenance, and optimization of the performance of the defect knowledgebase 50 that are desirable under production conditions due to changes in wafer processes, the need to provide more specific or more general classifications, changes in defect characteristics and frequency, and the emergence of new defects, as recited in claims 19-23. See Column 30, line 27-65.

Therefore it would have been obvious to one of ordinary skill in the art that the measurement system of Miyano (167) and Ito (033) can be modified to use the resistive element forming method of Lin (582), to provide a method of storing, indexing and retrieving information, thereby providing rapid retrieval and access to the large amount of stored defect and image information so that files may be retrieved by other stations and systems connected to the network.

### ***Examiners Response to Arguments***

6. Applicant's arguments filed 8-08-2005 have been fully considered but they are not persuasive.

Arguments 1 and 2.

Applicant states that, "Claim 1 of the present application, now recites a semiconductor device comprising *inter alia*, "a navigation system for storing design information such as *design* data." (emphasis added). One skilled in the art would understand that CAD data is *different* from design data."

Applicant also states that, "As a result, the cited references do not teach or suggest a semiconductor system comprising, *inter alia*, "a navigation system for storing design inspection information such as *design data* . . . wherein a portion of an image that corresponds to a template is *re-registered* as a new template in place of the bitmap based on the design information" as recited in claim 1 (emphasis added)."

The applicant is respectfully directed to the Abstract; and paragraph [0004] in Applicants published specification No. 2002/0158199, which state; An operator-free and fully automated semiconductor inspection system with high throughput is realized. All conditions required for capturing and inspection are generated from design information such as CAD data.

[0004] In recent years, there is a production shift in the semiconductor industry from production of memory chips to production of system large scale integrated circuits (LSI's). From a viewpoint of patterns on a semiconductor wafer, unlike patterns of a memory chip, patterns of a system LSI are not designed as simply repeated patterns. Accordingly, in the case of performing pattern measurement of the system LSI with a length-measuring SEM, which is one of the semiconductor evaluation systems, templates for measuring positions, in other words, templates for matching need to be frequently changed. In actual measurement, frequent capturing operations for registration of the templates may incur a considerable decline in entire throughput. Accordingly, generation of the templates directly from existing design data such as computer aided design (CAD) data has been requested.

The applicant is also respectfully directed to Miyano (167) Column 4, line 20-23, which states; The templates 11 to 13 are made from images (SEM image) using a scanning electron microscope on patterns prepared actually or images obtained by lithography simulation from CAD data of photomasks (reticles).

The applicant is further respectfully directed to the following prior art references that are intended to provide additional evidence of how the terms "CAD data" and "design data" are used by those skilled in the art.

Reference A: U.S. Patent No. 6,453,274 to Kamon; Column 1, line 17-25 , which states; Referring to FIGS. 29A to 29D, a conventional LSI production process will be described below. First, an LSI pattern such as that shown in FIG. 29A is designed using a CAD system or a similar tool and corresponding LSI pattern data is produced. The designed LSI pattern includes a plurality of rectangular patterns 291. Electron beam exposure is then performed according to the designed pattern data so as to produce a mask including a plurality of patterns 292 as shown in FIG. 29B.

Also, Column 8, line 23-30, which states; FIG. 2 is a block diagram illustrating a light proximity correction system used to accomplish the light proximity correction in the pattern formation process described in the first embodiment. Design data of an integrated circuit pattern produced with a CAD system is input to the system via a design data input unit 1. The design data input unit 1 is connected to a data compression unit 2 which performs data compression, in a pre-processing step, on the input data. The output of the data compression unit 2 is connected to an optical image calculation unit 3 for calculating an image, which will be projected onto a

wafer in a pattern transfer process. The output of the optical image calculation unit 3 is connected to a pattern prediction unit 4 for predicting the pattern which will be formed in-a resist as a result of the pattern transfer process. The pattern prediction unit 4 and the design data input unit 1 are connected to a comparison unit 5 for comparing the predicted pattern with the design data.

Reference B: U.S. Patent No. 6,563,114 to Nagahama; Column 8, line 23-30, which states; FIG. 40 is a block diagram illustrating a principal architecture of a substrate inspecting system 110 in the seventh embodiment. As shown in FIG. 40, the substrate inspecting system 110 includes a host computer 59 and a CAD data storage device 120. Other constructions of the substrate inspecting system 110 are substantially the same as the substrate inspecting system 200 shown in FIG. 1.

The CAD data storage device 120 is stored with design information containing a layout of an integrated circuit provided on the inspection target substrate, Particularly, pieces of data of central coordinates of the respective via-plugs in a stage coordinate system are converted into those in a wafer coordinate system, and thus stored in the storage device 120. The coordinates after the conversion are hereinafter be called on-wafer coordinates.

The examiner has interpreted from the above references that the terms "design data" and "CAD data" are utilized interchangeably in the prior art to describe the layout and/or pattern of an integrated circuit that is stored in a computer for subsequent use in the fabrication of the integrated circuit; for example, as the reference in an SEM inspection apparatus or lithography exposure device. As a result one skilled in the art

would not understand that CAD data is different from design data, as suggested in the applicant's remarks above, since the terms have in fact the same meaning to one skilled in the art of semiconductor manufacturing.

***Conclusion***

7. The Amendment filed on 8-08-2005 under 37 CFR 1.131 has been considered but is ineffective to overcome the Miyano (167), Ito (033), and Lin (582) references.

**THIS ACTION IS MADE FINAL.** Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).


A shortened statutory period for reply to this final action is set to expire THREE MONTHS from the mailing date of this action. In the event a first reply is filed within TWO MONTHS of the mailing date of this final action and the advisory action is not mailed until after the end of the THREE-MONTH shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the mailing date of this final action.

8. Any inquiry concerning this communication or earlier communications should be directed to Phillip Johnston whose telephone number is (571) 272-2475. The examiner can normally be reached on Monday-Friday from 7:30 am to 4:00 pm. If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor John Lee

can be reached at (571) 272-2477. The fax phone number for the organization where the application or proceeding is assigned is 571 273 8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

PJ  
October 25, 2005

  
NIKITA WELLS  
PRIMARY EXAMINER 10/28/05